

# COMPANY & SERVICES



## DESCRIPTION

**Mount-Invest SA** is an Independent Wealth Management Company

Founded and managed by financial professionals with extensive experience in Private Banking and Asset Management.

A **dedicated Independent Wealth Management company** incorporated under Swiss law, registered in Geneva, at the crossroads of Europe.

Mount-Invest SA is supervised by «AOOS», the Supervisory Body according to the Federal Law on Financial Institutions (FinIA) and the Federal Act on the Swiss Financial Market Supervisory Authority (FINMASA) duly approved by the Swiss Financial Market Supervisory Authority (FINMA). The company has an authorization to operate as an asset manager according to the FinIA (Financial Institutions Act).

Member of the **SFAA**, the Swiss Financial Analysts Association.

Mount-Invest SA works with business partners that have strong experiences in the development of companies linked to new business opportunities and structured financial solutions.

Current co-founder of a new and innovative partnership with **AWAP SA**, the Cercle de Gestionnaires de Fortune Indépendants (GFI) dedicated to Independent Asset Managers, Mount-Invest SA integrates now a multitude of services offered to clients and partners.

A **Family Office** joint-venture for specialized services and tailor made solutions.

## MOUNT



(or Mountain), a natural elevation of the earth surface rising more or less abruptly from the surrounding level and attaining an altitude which, relatively to the adjacent elevation, is impressive or notable. Elevation, volume, relief, spacing and continuity. To go up, climb, ascend / support



## INVEST

(or Investment), investing money or capital in order to gain profitable returns, as interest, income, or appreciation in value. Commit money or capital to obtain a financial gain

In many ways, the world of finance can be compared with mountains:

- Grandes Wide-open spaces, environment at risk, steep slopes, extreme and/or rapid variations but equally fascinating a world of extreme interest. Like a mountain “guide”, your personal and private advisor will guide you to reach the targeted summits
- There are many variants of guides and are often employed in any aspect of travels or adventures. Their knowledge and experience provides an advantage, improving the overall travel experience. A personalized experience ensuring a safety trip. The “guides” posses an area and field-specific qualification usually issued and/or recognized by the appropriate guide’s association or licensing authority

**Innovation, Flexibility, Progress, Tailored Guiding, Professional Services, Style,  
Security & Safety**

=

**Lasting Success**



## SERVICES

- **FAMILY OFFICE:** Specialized Service offered to families and special groups
- A **trusted and independent advisor** who offers quality services and tailor made solutions adapted to the needs of private individuals as well as institutional entities
- A global approach to Wealth Management & International Private Banking
- A privileged relationship with leading depository banks that best suits client needs (with the ability to manage assets in any bank or financial center)
- Independent Investment Policy and Research
- Application of the full spectrum of investment tools (Mandates, Management of dedicated financial solutions)
- Genuine partnership based on a relationship of mutual trust, ensuring the best investment solutions



## BENEFITS

- Serious and professional practice of Independent Asset Management and Advice
- Safeguarding assets, aiming for growth, risk monitoring
- Performance, objectivity, service innovation, trust
- Open Architecture with no conflict of interest
- Optimized investment strategies
- Tailor made investment solutions developed in partnership with our network of expert global assets counsel
- Assessment of overall banking needs with a dedicated and discrete manager
- Stability and continuity of personal relationship regardless of any changes in financial establishments

## ACTIVITIES

MAIN ACTIVITIES (•= Yes, • = No, ○= To be defined)	ADVISORY	ACTIVE ADVISORY	DESCRETIONAL
<b>Macro Activities</b>			
Definition of investment objectives	•	•	•
Evaluation of different risks	○	•	•
Definition of Family investment risk profile or Investment Policy (IP)	•	•	•
Clear differentiation of “strategic asset allocation” vs “tactical asset allocation”	○	•	•
Revision and analysis of consolidated investment portfolios	○	•	•
Recommendation based on economic outlook and markets' opportunities	○	•	•
Choice of banks and accounts jurisdictions (stability, safety, quality, discretion, fees, etc).	•	○	•
Definition of 'alert triggers' with emergency contingency plan*	•	•	•
Choice of investment structure taking into consideration tax issues*	•	○	•
Choice of investment structure taking into consideration succession issues*	•	○	•
Choice of wealth manager jurisdiction (Mexico, Panama, CH, EU, etc.)	•	○	•
<b>Micro Activities</b>			
Economic outlook knowledge (research access/active reading and information analysis)	○	•	•
Financial markets' understanding (systems' access/active reading and information analysis)	•	•	•
Selection of core-satellite investment strategy (asset + currency allocation)	○	•	•
Evaluation of different investment vehicles that may be considered & those that will not	○	•	•
Selection of investment vehicles (bonds, equities, funds, ETF's, structured products, commodities)	•	○	•
Investment implementation/investment instructions to each bank (purchase/sell orders)	•	○	•
Control of all trading orders execution throughout all banks (amount/prices/fees cross checks)	•	○	•
Asset allocation and accounts update after orders execution (accounting services)	•	○	•
Consolidation of all bank accounts throughout one single 'global statement'	•	•	•
Quarterly reports	○	•	•
Minutes of meetings / decisions	•	○	•
Other Services	○	○	•
* Via Family Office Partners			

# RISK APPROACH & MANDATES

## DEFENSIVE

The investment goal is capital protection. Stable growth and/or a high level of income, and access to the investments within three years.

This strategy looks for higher returns than those available from short-term time deposits while assuming an increase in risk

## BALANCED

The investment goal is capital growth. Tolerance of some fluctuations in the value of the portfolio in anticipation of a higher return. Prepared to invest for four years or more, income not required.

Designed for investors willing to accept an increase in risk potential losses in order to achieve capital growth

## GROWTH

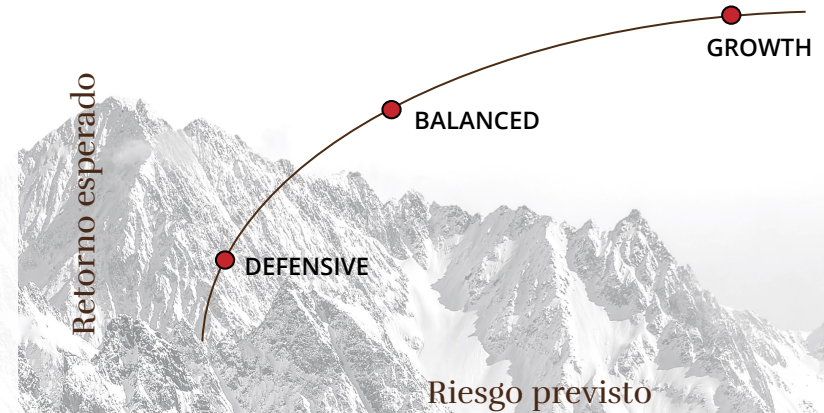
The investment goal is long-term capital growth. Tolerance to substantial fluctuations in the value of the portfolio in anticipation of a higher possible return over a period of 8 years or more.

Designed for risk oriented investors

## SPECIAL MANDATE

Available for investment ranges not specified or included in the other Investment Strategy Mandates. Target: achieve positive performance in the portfolio every year, independent of the market conditions.

Focus on stable and absolute returns with no restrictions



Mount-Invest SA	
Active Advisory / Advisory (consultory)	Managed Portfolio
Advice and recommendations provided by a dedicated advisory specialist: Mount-Invest SA	Delegation of management decisions and Portfolio Investment policy to Mount-Invest SA
The client takes the final investment decisions	Delegation of investment decision making power (risk profiles defined)
"Advisory" & "Active Advisory" portfolios	Discretionary Portfolios

## RISK GRADES

Monitoring risk level of securities via a proprietary model from Mount-Invest SA

## INVESTMENT COMMITTEE

- **Mount-Invest SA**
- AWAP SA and Partners
- Definition of asset classes and proportions (types and percentages)
- Definition of strategies and investment themes

## SELECTION

- Selection of financial instruments and ideas via the investment committee "TIM" (Tuesday Investment Meeting)
- Proprietary ideas from Partners and **Mount-Invest SA**
- Recommendations from financial institutions and banks

## IMPLEMENTATION

- Strategies and implementations by **Mount-Invest SA**
- Clients (particular cases)
- Partners (particular cases)

## TACTICAL INV. COMMITTEE

- Internal and external investment recommendations
- Market opportunities
- Switches
- Diverse

## FOLLOW-UP, CONTROL & SUPERVISION

- By **Mount-Invest SA** & partners
- Corporation audited by duly authorized companies (audit & compliance)
- AOOS: Supervisory body (OS) within the meaning of the Financial Market Supervision Act (LFINMA)
- SFAA (Swiss Financial Analyst Association)

Innovation, Open Architecture & Tailor-Made Proposals, Bespoke & Innovative Solutions, Flexibility, Enhanced Decisions, Groups Synergies and Reactivity

## DESCRIPTION



Vontobel

bordier | 1844



HYPOSWISS  
PRIVATE BANK



Bank partnerships also available via the AWAP SA\* Family Office :

BCV, Pictet, Julius Bär, Corner Trader, EFG, Swissquote, BNP Paribas, Société Générale, JP Morgan, Barclays, SYZ, etc.

\* Discounts on products, custody fees, trading, etc. Restrictions may apply



# DISCLAIMER



This publication is intended for information purposes only, should not be construed as an offer or recommendation or solicitation for sale, purchase or engagement in any other transaction and shall be distributed to financial professionals, qualified or well informed investors and/or institutional investors as defined below. All material is provided without express or implied warranties or representations of any kind and no liability is accepted. Opinions and comments therefore reflect current views of the author, but not necessarily the ones of Mount-Invest SA or partners entities and are subject to change without notice.

Other Mount-Invest SA entities or partners may have issued, and may in the future issue, other reports that are inconsistent with, and reach different conclusions from, the information presented in this report. Mount-Invest SA assumes no obligation to ensure that such other reports are brought to the attention of any recipient of this report. The investments herein may not be suitable for all recipients. Past performance is no guarantee of future results and no guarantee is implied. Past performance, forecasting models and simulations are no guarantee for future performance ! Statements regarding the future performance of investments are forecasts only; therefore no liability is accepted. The price, value of, and income from any of the securities or financial instruments mentioned in this report can fall as well as rise. International investing includes risks related to political and economic uncertainties of foreign countries, as well as currency risk.

Mount-Invest SA and/or its employees and associates or partners may have or have had financial or other interests or positions in relevant securities. Laws and regulations of other countries may also restrict the distribution of this report. Persons in possession of this document should inform themselves about possible legal restrictions and observe them accordingly. Further information is available on request. Subject to copyright with all rights reserved.